
Northwest Biotherapeutics, Inc.

Plaintiff

Civil Number: 22-cv-10185

vs.

Canaccord Genuity LLC, et al.

Defendant

AFFIDAVIT OF SERVICE

I, Michael Marra, a Private Process Server, being duly sworn, depose and say:

That I have been duly authorized to make service of the Summons and Complaint in the above entitled case.

That I am over the age of eighteen years and not a party to or otherwise interested in this action.

That on 12/05/2022 at 12:29 PM, I served Lime Trading Corp. at 1 Penn Plaza, 16th Floor, New York, New York 10119 with the Summons and Complaint by serving Elizabeth Caffray, Managing Agent, authorized to accept service.

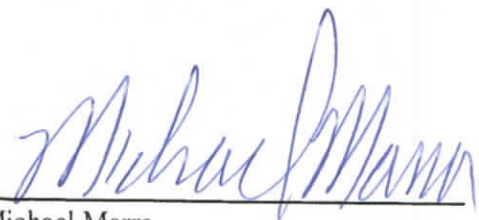
Elizabeth Caffray is described herein as:

Gender: Female Race/Skin: White Age: 36 Weight: 140 Height: 5'8" Hair: Brown Glasses: No

I declare under penalty of perjury that this information is true and correct.

DECEMBER 7, 2022
Executed On




Michael Marra

Client Ref Number: 78390-001
Job #: 1611413

AO 440 (Rev. 06/12) Summons in a Civil Action

UNITED STATES DISTRICT COURT

for the

Southern District of New York

Northwest Biotherapeutics, Inc.

Plaintiff(s)

v.

CANACCORD GENUITY LLC, CITADEL SECURITIES
LLC, G1 EXECUTION SERVICES LLC, GTS
SECURITIES LLC, INSTINET LLC, LIME TRADING
CORP., SUSQUEHANNA INTERNATIONAL GROUP
LLP, and VIRTU AMERICAS LLC.

Defendant(s)

Civil Action No. 22-cv-10185

SUMMONS IN A CIVIL ACTION

To: *(Defendant's name and address)* Lime Trading Corp.
1 Penn Plaza
16th Floor
New York, NY, 10119

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are:

Laura H. Posner
Cohen Milstein Sellers & Toll PLLC
88 Pine St, 14th Floor
New York, New York 10005

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

CLERK OF COURT

Date: 12/02/2022

/S/ V. BRAHIMI

Signature of Clerk or Deputy Clerk

